

# **CHAPTER 6**

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## **GRASSLAND**

Second Order Draft

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## 6 GRASSLAND

### 6.1 INTRODUCTION

*No Refinement*

### 6.2 GRASSLAND REMAINING GRASSLAND

*No Refinement*

#### 6.2.1 Biomass

*No Refinement*

#### 6.2.2 Dead organic matter

*No Refinement*

#### 6.2.3 Soil carbon

*No Refinement in the Introduction*

This section deals with the impacts of grassland management on soil organic C stocks, primarily by influencing C inputs to the soil, and thus soil C storage, by affecting net primary production, root turnover, and allocation of C between roots and shoots. Soil C stocks in grassland are influenced by fire, grazing intensity, fertilizer management, liming, irrigation, re-seeding with more or less productive grass species and mixed swards with N-fixing legumes (Conant *et al.*, 2001; Follett *et al.*, 2001; Ogle *et al.*, 2004). In addition, drainage of organic soils for grassland management causes losses of soil organic C (Armentano and Menges, 1986).

*General information and guidance for estimating changes in soil C stocks are provided in Chapter 2, Section 2.3.3 (including equations), and this section needs to be read before proceeding with a consideration of specific guidelines dealing with grassland soil C stocks.* The total change in soil C stocks for grassland is estimated using Equation 2.24 (Chapter 2), which combines the change in soil organic C stocks for mineral soils and organic soils; and stock changes associated with soil inorganic C pools (if estimated at Tier 3). This section provides specific guidance for estimating soil organic C stocks. There is a general discussion in Section 2.3.3.1 on soil inorganic C and no additional information on this is provided here.

To account for changes in soil C stocks associated with *Grassland Remaining Grassland*, countries need to have, at a minimum, estimates of grassland areas at the beginning and end of the inventory time period. If land-use and management data are limited, aggregate data, such as FAO statistics on grassland, can be used as a starting point, along with knowledge of country experts about the approximate distribution of land management systems (e.g., degraded, nominal and improved grassland/grazing systems). Grassland management classes must be stratified according to climate regions and major soil types, which could either be based on default or country-specific classifications. This can be accomplished with overlays of land use on suitable climate and soil maps.

#### 6.2.3.1 CHOICE OF METHOD

*This section contains further elaboration on methods, updates and new guidance.*

Inventories can be developed using a Tier 1, 2 or 3 approach, with each successive Tier requiring more details and resources than the previous one. It is also possible that countries will use different tiers to prepare estimates for the separate sub-categories of soil C (i.e., soil organic C stocks changes in mineral and organic soils; and stock changes associated with soil inorganic C pools). Decision trees are provided for mineral (Figure 2.4) and organic soils (Figure 2.5) in Section 2.3.3.1 (Chapter 2) to assist inventory compilers with the selection of the appropriate tier for their soil C inventory.

##### **Mineral soils**

##### **Tier 1**

For mineral soils, the estimation method is based on changes in soil organic C stocks over a finite period following changes in management that impact soil organic C storage. After a finite transition period, one can assume a steady

state for this stock. Equation 2.25 (Chapter 2) is used to estimate change in soil organic C stocks in mineral soils by subtracting the C stock in the last year of an inventory time period ( $SOC_0$ ) from the C stock at the beginning of the inventory time period ( $SOC_{(0-T)}$ ) and dividing by the time dependence of the stock change factors (D). Note that area of exposed bedrock in grasslands are not included in the soil C stock calculation (assume a stock of 0). In practice, country-specific data on grassland management activity should be obtained and classified into appropriate land management systems, and then stratified by IPCC climate regions and soil types (see Chapter 3). Soil organic C stocks (SOC) are estimated for each time period in the inventory using default reference carbon stocks ( $SOC_{ref}$ ) and default stock change factors ( $F_{LU}$ ,  $F_{MG}$ ,  $F_I$ ).

## **Tier 2**

### *Developing Country-Specific Factors for the Default Equations*

The Tier 2 method for mineral soils also uses Equation 2.25 (Chapter 2), but the inventory approach is further developed with country-specific information to better specify stock change factors, reference C stocks, climate regions, soil types, and/or the land management classification system.

### *Three-Pool Steady-State C Model*

An alternative Tier 2 method is the three-pool steady-state soil C model<sup>1</sup> which is based on estimating C inputs to soils and applying soil carbon pool specific decomposition rates that are modified given environmental conditions and management practices. This model embraces more of the heterogeneity in soils, by subdividing soil C into different turnover rates, i.e., fast (Active Pool), intermediate (Slow Pool), and long turnover times (Passive Pool). See Chapter 2, Section 2.3.3.1 for more information.

## **Tier 3**

Tier 3 approaches do not employ simple stock change factor *per se*, but rather use dynamic models and/or detailed soil C inventory measurements as the basis for estimating annual stock changes.

Estimates of stock changes using model-based approaches are computed from the coupled equations that estimate the net change of soil carbon. A variety of models designed to simulate soil carbon dynamics exist (for example, see reviews by McGill *et al.*, 1996; Smith *et al.*, 1997). Key criteria in selecting an appropriate model include its capability of representing all of the relevant management practices/systems for grasslands; model inputs (i.e., driving variables) are compatible with the availability of country-wide input data; and the model sufficiently represents stock changes based on comparisons with experimental data.

A Tier 3 approach may also be developed using a measurement-based approach in which a monitoring network is sampled periodically to estimate soil organic C stock changes. In contrast to a network associated with model validation, a much higher density of benchmark sites will be needed to adequately represent the combination of land-use and management systems, climate and soil types. Additional guidance is provided in Section 2.3.3.1 (Chapter 2).

## **Organic soils**

### *No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See section 2.2 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for drained organic soils.*

## **Biochar C Amendments to Mineral Soils**

### **Tier 1**

This methodology utilizes a top-down approach in which the total amount of biochar generated and added to mineral soil is used to estimate the change in soil organic C stocks. Use Equation 2.27 to estimate the change in C stock from biochar amendments in Chapter 2, Section 2.3.3.1, Volume IV.

### **Tier 2**

Tier 2 methods use the same definitions and equations as Tier 1, but with country-specific factors. See Section 2.3.3.1, Chapter 2, Volume IV for more information.

### **Tier 3**

<sup>1</sup> The steady-state model is not a Tier 3 method because equations and a global set of default parameters are provided, similar to the gross energy intake model for livestock that is provided for estimating enteric methane emissions (See Volume IV, Chapter 10). However, compilers can further develop and/or parameterise this model given appropriate datasets, which would be a Tier 3 method (See Section 2.5.2 for more information about developing a Tier 3 model-based approach).

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Tier 3 methods can be used to account for GHG sources and sinks not captured in Tiers 1 or 2, such as priming, changes to N<sub>2</sub>O or CH<sub>4</sub> fluxes from soils, and changes to net primary production. More information on Tier 3 methods is provided in Section 2.3.3.1 of Chapter 2, Volume IV.

### 6.2.3.2 CHOICE OF STOCK CHANGE AND EMISSION FACTOR

#### *Mineral soils*

*This section contains further elaboration on methods, updates and new guidance.*

#### **Tier 1**

For the Tier 1 approach, default stock change factors are provided in Table 6.2, which includes values for land use factor ( $F_{LU}$ ), input factor ( $F_I$ ), and management factor ( $F_{MG}$ ). The method and studies that were used to derive the default stock change factors are provided in Annex 6A.1. The time dependence ( $D$ ) is 20 years for default stock change factors in grasslands, and they represent the influence of management to a depth of 30cm. Default reference soil organic C stocks are found in Table 2.3 of Chapter 2. The reference stock estimates are for the top 30cm of the soil profile, to be consistent with the depth increment for default stock change factors.

#### **Tier 2**

##### *Developing Country-Specific Factors for the Default Equations*

Estimation of country-specific stock change factors is an important advancement for improving an inventory that can be developed in the Tier 2 approach. Derivation of management factor ( $F_{MG}$ ) and input factor ( $F_I$ ) factor are based on experimental comparisons to nominally-managed grasslands with medium input, respectively, because these classes are considered the nominal practices in the IPCC default classification scheme for management systems (see Choice of Activity Data). It is considered *good practice* to derive values for more detailed classification schemes of management, climate and soil types, if there are significant differences in the stock change factors among finer categories based on an empirical analysis. Reference C stocks can also be derived from country-specific data in a Tier 2 approach.

Reference C stocks can be derived from country-specific data in a Tier 2 approach. Reference values in Tier 1 correspond to non-degraded, unimproved lands under native vegetation, but other reference conditions can also be chosen for Tier 2. In general, reference C stocks should be consistent across the land uses (i.e., Forest Land, Cropland, Grassland, Settlements, Other Land) (see section 2.3.3.1). Therefore, the same reference stock should be used for each climate zone and soil type, regardless of the land use. The reference stock is then multiplied by land use, input and management factors to estimate the stock for each land use based on the set of management systems that are present in a country. In addition, the depth for evaluating soil C stock changes can be different with the Tier 2 method. However, this will require consistency with the depth of the reference C stocks ( $SOC_{REF}$ ) and stock change factors for all land uses (i.e.,  $F_{LU}$ ,  $F_I$ , and  $F_{MG}$ ) to ensure consistency.

The carbon stock estimates may be improved when deriving country-specific factors for  $F_{LU}$  and  $F_{MG}$ , by expressing carbon stocks on a soil-mass equivalent basis rather than a soil-volume equivalent (i.e. fixed depth) basis. This is because the soil mass in a certain soil depth changes with the various operations associated with land use that affect the density of the soil, such as uprooting, land leveling, tillage, and rain compaction due to the disappearance of the cover of tree canopy. However, it is important to realize that all data used to derive stock change factors across all land uses must be on an equivalent mass basis if this method is applied. This will be challenging to do comprehensively for all land uses. See Box 2.2C in Chapter2, Section 2.3.3.1 for more information.

##### *Three-Pool Steady-State C Model*

Default parameters are provided for the three-pool steady-state C pool equations (Chapter 2, Section 2.3.3.1, Table 2), but parameters may be revised if experimental data are available to test the model. The average lignin and nitrogen contents of the C input is also required to estimate the size of the three C pools (See Table 6.2A).

#### **Tier 3**

Constant stock change rate factors *per se* are less likely to be estimated in favor of variable rates that more accurately capture land-use and management effects. See Section 2.3.3.1 (Chapter 2) for further discussion.

<b>UPDATED - TABLE 6.2</b> <b>RELATIVE STOCK CHANGE FACTORS FOR GRASSLAND MANAGEMENT</b>					
Factor	Level	Climate regime	IPCC default	Error <sup>1,2</sup>	Definition
Land use (F <sub>LU</sub> )	All	All	1.0	NA	All native and/or permanent grassland in a nominal condition is assigned a land-use factor of 1.
Management (F <sub>MG</sub> )	Nominally managed (non-degraded)	All	1.0	NA	Represents low or medium intensity grazing regimes without significant management improvements.
Management (F <sub>MG</sub> )	High Intensity Grazing	All	0.90	±8%	Represents high intensity grazing systems with shifts in vegetation composition and possibly productivity, but is not severely degraded.
Management (F <sub>MG</sub> )	Severely degraded	All	0.7	±40%	Implies major long-term loss of productivity and vegetation cover, due to severe mechanical damage to the vegetation and/or severe soil erosion.
Management (F <sub>MG</sub> )	Improved grassland	Temperate/Boreal	1.14	±11%	Represents grassland which is sustainably managed with light to moderate grazing pressure and that receive at least one improvement (e.g., fertilization, species improvement, irrigation).
		Tropical	1.17	±9%	
		Tropical Montane <sup>3</sup>	1.16	±40%	
Input (applied only to improved grassland) (F <sub>I</sub> )	Medium	All	1.0	NA	Applies to improved grassland where no additional management inputs have been used.
Input (applied only to improved grassland) (F <sub>I</sub> )	High	All	1.11	±7%	Applies to improved grassland where one or more additional management inputs/improvements have been used (beyond that is required to be classified as improved grassland).
<p>Management factors were derived using methods and studies provided in Annex 6A1. The basis for the other factors is described in the 2006 IPCC Guidelines.</p> <p><sup>1</sup> ± two standard deviations, expressed as a percent of the mean; where sufficient studies were not available for a statistical analysis a default, based on expert judgement, of ± 40% is used as a measure of the error. NA denotes 'Not Applicable', for factor values that constitute reference values or nominal practices for the input or management classes.</p> <p><sup>2</sup> This error range does not include potential systematic error due to small sample sizes that may not be representative of the true impact for all regions of the world.</p> <p><sup>3</sup> There were not enough studies to estimate stock change factors for mineral soils in the tropical montane climate region. As an approximation, the average stock change between the temperate and tropical regions was used to approximate the stock change for the tropical montane climate.</p>					

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NEW GUIDANCE - TABLE 6.2A		
DEFAULT VALUES FOR NITROGEN AND LIGNIN CONTENTS IN GRASSLANDS FOR THREE-POOL STEADY-STATE C MODEL		
Grass/Forage	N content of residues <sup>1</sup>	Lignin content of residues
N-fixing forages	0.0245	0.072
Non-N-fixing forages	0.0135	0.049
Perennial Grasses	0.0135	0.049
Grass-Clover Mixtures	0.0190	0.061
<sup>1</sup> Average of aboveground and belowground for each crop based on data in Table 11.1A in Volume IV, Chapter 11 of this report; value for grass-clover mixtures is an average of N fixing and non-N fixing grasses.		
<sup>2</sup> Values from Equi-Analytical Laboratories (2018); value for grass-clover mixtures is an average of N fixing and non-N fixing grasses.		

**Organic soils***No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See section 2.2 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for drained organic soils.*

**Biochar C Amendments to Mineral Soils****Tier 1**

Default emission factors are provided in Section 2.3.3.1, Chapter 2, Volume IV.

**Tier 2**

Tier 2 emission factors may be further disaggregated relative to the default factors based on variation in environmental conditions, such as the climate and soil types, in addition to variation associated with the biochar production methods. See Section 2.3.3.1, Chapter 2, Volume IV for more information.

**Tier 3**

Tier 3 methods are country-specific and may involve empirical or process-based models to account for a broader set of impacts of biochar amendments. More information on Tier 3 methods is provided in Section 2.3.3.1, Chapter 2, Volume IV.

**6.2.3.3 CHOICE OF ACTIVITY DATA**

*This section contains further elaboration on methods, updates and new guidance.*

**Mineral soils****Tier 1**

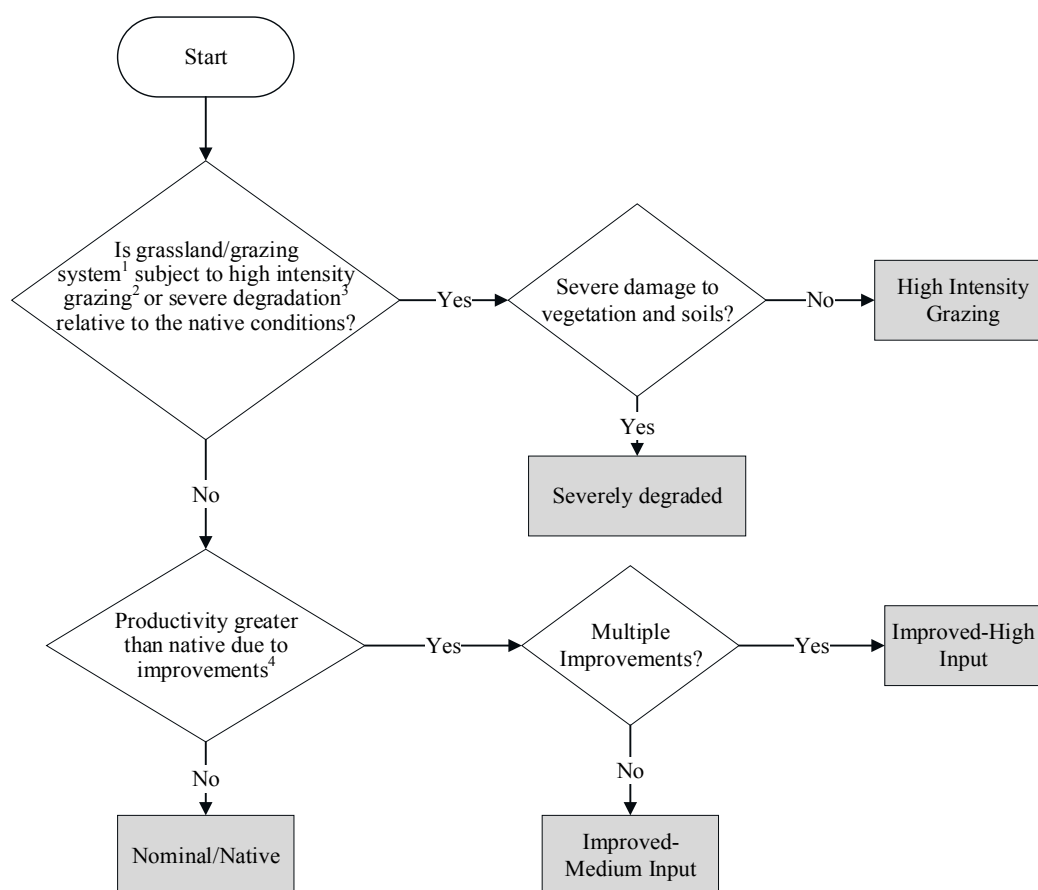
Grassland systems are classified by practices that influence soil C storage. In general, practices that are known to increase C input to the soil and thus soil organic C stocks, such as irrigation, fertilization, liming, organic amendments, more productive grass varieties, are given an improved status, with medium or high inputs depending on the level of improvement. Practices that decrease C input and soil organic C storage, such as long-term heavy grazing, are given a degraded status relative to nominally-managed seeded pastures or native grassland that are neither improved nor degraded. These practices are used to categorize management systems and then estimate the change in soil organic C stocks. A classification system is provided in Figure 6.1, which forms the basis for a Tier 1 inventory. Inventory compilers should use this classification to categorize management systems in a manner consistent with the default Tier 1 stock change factors. This classification may be further developed for Tiers 2 and 3 approaches.

The main types of land-use activity data include: i) aggregate statistics (Approach 1), ii) data with explicit information on land-use conversions but without specific geo-referencing (Approach 2), or iii) data with information on land-use conversion and explicit geo-referencing (Approach 3), such as point-based land-use and management inventories making up a statistically-based sample of a country's land area. (See Chapter 3 for discussion of Approaches). At a minimum, globally available land-use statistics, such as FAO's databases ([http://www.fao.org/waicent/portal/glossary\\_en.asp](http://www.fao.org/waicent/portal/glossary_en.asp)), provide annual compilations of total land area by major land-use types. This would be an example of aggregate data (Approach 1).

Management activity data supplement the land-use data, providing information to classify management systems, such as stocking rates, fertilizer use, irrigation, etc. These data can also be aggregate statistics (Approach 1) or



**Figure 6.1** Classification scheme for grassland/grazing systems. In order to classify grassland management systems, the inventory compiler should start at the top and proceed through the diagram answering questions (move across branches if answer is yes) until reaching a terminal point on the diagram. The classification diagram is consistent with default stock change factors in Table 6.2.



Note:

1: Includes continuous pasture, hay lands and rangelands

2: High intensity grazing based on forage production in area and number of livestock that are considered high grazing intensity with shifts in vegetation composition, but not severe degradation.

3: Large changes in vegetation composition due to over-grazing and/or high rates of erosion.

4: Productivity refers explicitly to C input to soil (management improvements that increase input e.g., fertilization, organic amendment, irrigation, planting more productive varieties, liming, and seeding legumes).

provide information on explicit management changes (Approach 2 or 3). It is *good practice* where possible for grassland areas to be assigned appropriate general management activities (i.e., degraded, native, or improved) or specific management activities (e.g., fertilization or grazing intensity). Soil degradation maps may be a useful source of information for stratifying grassland according to management (e.g., Conant and Paustian, 2002; McKeon *et al.*, 2004). Expert knowledge is another source of information for management practices. It is *good practice* to elicit expert knowledge, where appropriate, using methods provided in Volume 1, Chapter 2 (Annex 2A.1, A protocol for expert elicitation).

National land-use and resource inventories based on repeated surveys of the same locations constitute activity data gathered using Approach 2 or 3, and have some advantages over aggregated pastoral and land-use statistics

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(Approach 1). Time series data can be more readily associated with a particular grassland management system and the soil type associated with the particular location can be determined by sampling or by referencing the location to a suitable soil map. Inventory points that are selected based on an appropriate statistical design also enable estimates of the variability associated with activity data, which can be used as part of a formal uncertainty analysis. An example of a survey using Approach 3 is the National Resource Inventory in the U.S. (Nusser and Goebel, 1997).

Activity data require additional in-country information to stratify areas by climate and soil types. If such information has not already been compiled, an initial approach would be to overlay available land cover/land-use maps (of national origin or from global datasets such as IGBP\_DIS) with soil maps of national origin or global sources, such as the FAO Soils Map of the World and climate data from the United Nations Environmental Program. A detailed description of the default climate and soil classification schemes is provided in Chapter 3, Annex 3A.5. The soil classification is based on soil taxonomic description and textural data, while climate regions are based on mean annual temperatures and precipitation, elevation, occurrence of frost, and potential evapotranspiration.

**Tier 2***Developing Country-Specific Factors for the Default Equations*

Tier 2 approaches are likely to involve a more detailed stratification of management systems (Figure 6.1) than in Tier 1, if sufficient data are available. This could include further subdivisions of grassland systems (i.e., moderately degraded, severely degraded, nominal and improved), and the input classes (medium and high input). It is *good practice* to further subdivide default classes based on empirical data that demonstrates significant differences in soil organic C storage among the proposed categories. In addition, Tier 2 approaches could involve a finer stratification of climate regions and soil types. The resolution of activity data, such as that determined by intensity of survey data, often determines the finest feasible resolutions for spatial stratification.

For Tier 2, the specific definitions of management and input factors are typically made to match available activity data on how activities affects C stocks. For example, if a country has management factors related to levels of grazing intensity, then the country will also need activity data on grazing intensity to apply the country-specific factors.

*Three-Pool Steady-State C Model*

This method requires soil C input data based on the amount of biomass that is converted to dead organic matter annually. This rate will vary depending on the crop production, management activity, and other environmental variables. Removals or reductions in dead organic matter are subtracted from the C input amount, which could occur with livestock grazing, grassland burning, or harvesting of grass for feed or bioenergy. Additions of C, particularly organic amendments such as manure, are included in the estimate of C input.

It is *good practice* to estimate C input using country-specific methods in order to produce more accurate estimates, but Equation 6.1 can be used to estimate the C input if country-specific methods are not available. This method assumes that plants are not accumulating much C from year to year, and so the balance of the NPP represents C input to soils. This method is adapted from Del Grosso et al. (2008).

**EQUATION 6.1****GRASSLAND CARBON INPUT FOR THREE-POOL STEADY-STATE C MODEL**

$$C_{input} = 0.7933 \cdot \sqrt{MAP} \cdot G - 5.4196 - C_{harvest} - C_{burnt} + C_{manure}$$

Where:

$C_{input}$  = Carbon input, which is based on Net primary production, g C m<sup>-2</sup> year<sup>-1</sup>

MAP = long-term mean annual precipitation, mm

$G$  = conversion factor (g C m<sup>-2</sup> mm<sup>-1/2</sup>)

$C_{harvest}$  = C harvested and removed (g C m<sup>-2</sup>)

$C_{burnt}$  = C burnt (g C m<sup>-2</sup>)

$C_{manure}$  = C added in manure (g C m<sup>-2</sup>)

**Organic soils***No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See section 2.2 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for drained organic soils*

### **Biochar C Amendments to Mineral Soils**

#### **Tier 1**

The activity data required for the Tier 1 method includes the total quantities of biochar distributed for amendment to mineral soils. These data must be disaggregated by production type, where production type is defined as a process utilizing a specific feedstock type, and a specific conversion process (gasification, or high-, medium-, or low-temperature pyrolysis; Tables 2.4 and 2.5). In case data on the temperature of pyrolysis are unavailable, default factors for uncontrolled or unspecified pyrolysis temperatures are provided in Section 2.3.3.1 of Chapter 2, Volume IV. Changes in soil C associated with biochar amendments is considered to occur where it is incorporated into soil. However, due to the distributed nature of the land sector in which this can take place, inventory compilers may not have access to data on when or where biochar C amendments occur. Therefore, for the purposes of Tier 1 method, inventory compilers can rely on centralized records from biochar producers, importers, exporters or distributors, recording the quantity of biochar that has been provided to the land use sector for use as a soil amendment in the country. Note that exported biochar is not included in the total amount of biochar amended to soils in the country. Inventory compilers may further disaggregate amendments by land use if the data are available.

#### **Tier 2**

Tier 2 methods have the same activity data requirements as Tier 1 (quantities of biochar distributed for incorporation into mineral soils, disaggregated by production type). Additionally, activity data on the amount of biochar amendments may be disaggregated by climate zones and/or soil types if country-specific factors are disaggregated by these environmental variables. The additional climate and soil activity data may be obtained with a survey of biochar distributors and land managers.

#### **Tier 3**

The additional activity data required to support a Tier 3 method will depend on which processes are represented and environmental variables that are required as input to the model. Priming, soil GHG emissions, and plant production responses to biochar all vary with biochar type, climate, and soil type. Furthermore, soil GHG emissions and plant production responses also vary with grass type and management. Therefore, Tier 3 methods may require environmental data on climate zones, soil types, grass types and management systems (such as nitrogen fertilizer application rates), in addition to the amount of biochar amendments in each of the individual combinations of strata for the environmental variables. More detailed activity data specifying the process conditions for biochar production or the physical and chemical characteristics of the biochar may also be required (such as surface area, cation exchange capacity, pH, and ash content).

## **6.2.3.4 CALCULATION STEPS FOR TIER 1**

*This section provides updates and new guidance.*

### **Mineral soils**

The steps for estimating  $SOC_0$  and  $SOC_{(0-T)}$  and net soil C stock change from *Grassland Remaining Grassland* are as follows:

**Step 1:** Organize data into inventory time periods based on the years in which activity data were collected (e.g., 1990 and 1995, 1995 and 2000, etc.)

**Step 2:** Determine the land-use and management by mineral soil type and climate region for land at the beginning of the inventory period, which can vary depending on the time step of the activity data (0-T; e.g., 5, 10 or 20 years ago).

**Step 3:** Select the native reference C stock value ( $SOC_{REF}$ ), based on climate and soil type from Table 2.3, for each area of land being inventoried. The reference C stocks are the same for all land-use categories to ensure that erroneous changes in the C stocks are not computed due to differences in reference stock values among sectors.

**Step 4:** Select the land-use factor ( $F_{LU}$ ), management factor ( $F_{MG}$ ) and C input levels ( $F_I$ ) representing the land-use and management system present at the beginning of the inventory period. Values for  $F_{LU}$ ,  $F_{MG}$  and  $F_I$  are provided in Table 6.2.

**Step 5:** Multiply these values by the reference soil C stock to estimate the ‘initial’ soil organic C stock ( $SOC_{(0-T)}$ ) for the inventory time period.

**Step 6:** Estimate  $SOC_0$  by repeating Step 1 to 4 using the same native reference C stock ( $SOC_{REF}$ ), but with land-use, management and input factors that represent conditions in the last (year 0) inventory year.

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**Step 7:** Estimate the average annual change in soil organic C stock for the area over the inventory time period ( $\Delta C_{\text{Mineral}}$ ).

**Step 8:** Repeat Steps 1 to 6 if there are additional inventory time periods (e.g., 1995 to 2000, 2001 to 2005, etc.).

A case example is given below for computing a change in grassland soil organic C stocks using Equation 2.25 (Chapter 2), default stock change factors and reference C stocks.

**Updated Example:** The following example shows calculations for aggregate areas of grassland soil carbon stock change to a 30 cm depth. In a tropical moist climate on Ultisol soils, there are 1Mha of permanent grassland. The native reference carbon stock ( $\text{SOC}_{\text{REF}}$ ) for the climate/soil type is 47 tonnes C ha<sup>-1</sup>. At the beginning of the inventory time period (1990 in this example) the distribution of grassland systems was 500,000 ha of unmanaged native grassland; 400,000 ha of unimproved, moderately degraded grazing land; and 100,000 ha of heavily degraded grassland. Thus, initial soil carbon stocks for the area were:

$$500,000 \text{ ha} \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 1 \bullet 1) + 400,000 \text{ ha} \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 0.97 \bullet 1) + 100,000 \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 0.7 \bullet 1) = 45,026,000 \text{ tonnes C.}$$

In the last year of inventory time period (2010 in this example), there are: 300,000 ha of unmanaged native grassland; 300,000 ha of unimproved, moderately degraded grazing land; 200,000 ha of heavily degraded grassland; 100,000 ha of improved pasture receiving fertilizer; and 100,000 of highly improved pasture receiving fertiliser together with irrigation. Thus, total soil carbon stocks in the inventory year are:

$$300,000 \text{ ha} \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 1 \bullet 1) + 300,000 \text{ ha} \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 0.97 \bullet 1) + 200,000 \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 0.7 \bullet 1) + 100,000 \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 1.17 \bullet 1) + 100,000 \bullet (47 \text{ tonnes C ha}^{-1} \bullet 1 \bullet 1.17 \bullet 1.11) = 45,959,890 \text{ tonnes C.}$$

The average annual stock change over the period for the entire area is:  $45,959,890 - 45,026,000 = 933,890 \text{ tonnes}/20 \text{ yr} = 46,694.5 \text{ tonnes per year soil C stock increase}$ . (Note: 20 years is the time dependence of the stock change factor, i.e., factor represents annual rate of change over 20 years).

### **Organic soils**

#### *No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See section 2.2 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for drained organic soils*

### **Biochar C Amendments to Mineral Soils**

**Step 1:** Organize data of the annual amount of biochar applied to grassland by feedstock type and pyrolysis production method according to divisions described for biochar in Vol. 4, Chapter 2, Section 2.3.3.1.

**Step 2:** Calculate the annual change in biochar C stocks.

A numerical example is given below for *Grassland Remaining Grassland* on mineral soils, using Vol. 4 Chapter 2, Equation 2.25A and default values for carbon content (Table 2.3A) and for fraction of biochar remaining after 1000 years (Table 2.3B).

**Example:** The following example shows calculations for biochar additions to grassland. The following amounts and types of biochar are applied: 30,500 tonnes of biochar produced from medium temperature pyrolysis of animal manure and 150,000 tonnes per year of biochar from high-temperature gasification of wood chips. The annual change in biochar C stocks is:

$$(30500 \bullet 0.38 \bullet 0.24) + (150000 \bullet 0.52 \bullet 0.38) = 32,421.6 \text{ tonnes C}$$

### 6.2.3.5 UNCERTAINTY ASSESSMENT

*No Refinement*

## 6.2.4 Non-CO<sub>2</sub> greenhouse gas emissions from biomass burning

*No Refinement*

## 6.3 LAND CONVERTED TO GRASSLAND

*No Refinement in the Introduction*

### 6.3.1 Biomass

*No Refinement*

### 6.3.2 Dead organic matter

*No Refinement*

### 6.3.3 Soil carbon

*No Refinement in the Introduction*

Grassland management involving drainage will generate emissions from organic soil, regardless of the previous land use. However, the impact on mineral soils is less clear-cut for lands converted to Grassland. Literature on one of the dominant conversion types globally (from Forest Land to Grassland in the tropics) provides evidence for net gains as well as net losses in soil C, and it is known that the specific management of the grassland after conversion is critical (e.g., Veldkamp, 2001).

*General information and guidance for estimating changes in soil C stocks are provided in Chapter 2, Section 2.3.3 (including equations), and this section needs to be read before proceeding with a consideration of specific guidelines dealing with grassland soil C stocks.* The total change in soil C stocks for *Land Converted to Grassland* is estimated using Equation 2.24 for the change in soil organic C stocks for mineral soils and organic soils; and stock changes associated with soil inorganic C pools (if estimated at Tier 3). This section provides specific guidance for estimating soil organic C stock changes. There is a general discussion in Section 2.3.3 in Chapter 2 on soil inorganic C and no additional information is provided here.

To account for changes in soil C stocks associated with *Land Converted to Grassland*, countries need to have, at a minimum, estimates of the areas of *Land Converted to Grassland* during the inventory time period, stratified by climate region and soil type. If land-use and management data are limited, aggregate data, such as FAO statistics, can be used as a starting point, along with country expert knowledge of the approximate distribution of land-use types being converted and the management of those lands. If the previous land uses and conversions are unknown, SOC stocks changes can still be estimated using the methods provided in *Grassland Remaining Grassland*, but the land base area will likely be different for grasslands in the current year relative to the initial year in the inventory. It is critical, however, that the total land area accounted across all land-use sectors be equal over the inventory time period (e.g., if 3 Million ha of Forest Land and Cropland are converted to Grassland during the inventory time period, then Grassland will have an additional 3 Million ha in the last year of the inventory, while Cropland and Forest Land will have a corresponding loss of 3 Million ha in the last year). *Land Converted to Grassland* is

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433 stratified according to climate regions, management, and major soil types, which could either be based on default  
434 or country-specific classifications. This can be accomplished with overlays of suitable climate and soil maps,  
435 coupled with spatially-explicit data on the location of land conversions.

### 436 **6.3.3.1 CHOICE OF METHOD**

437 *This section contains elaboration on methods and new guidance.*

438 Inventories can be developed using a Tier 1, 2 or 3 method, with each successive Tier requiring more details and  
439 resources than the previous one. It is possible that countries will use different tiers to prepare estimates for the  
440 separate sub-categories of soil C (i.e., soil organic C stocks changes in mineral soils and organic soils; and stock  
441 changes associated with soil inorganic C pools). Decision trees are provided for mineral soils (Figure 2.4) and  
442 organic soils (Figure 2.5) in Section 2.3.3.1 Chapter 2 to assist inventory compilers with selection of the  
443 appropriate tier for their soil C inventory.

**Mineral soils****Tier 1**

Using Equation 2.25 (Chapter 2), the change in soil organic C stocks can be estimated for mineral soils accounting for the impact of land-use conversion to Grassland. The method is fundamentally the same as the one used for *Grassland Remaining Grassland*, except pre-conversion C stocks are dependent on stock change factors for another land use. Specifically, the initial (pre-conversion) soil organic C stock ( $SOC_{(0-T)}$ ) and stock in the last year of inventory time period ( $SOC_0$ ) are computed from the default reference soil organic C stocks ( $SOC_{REF}$ ) stock change factors ( $F_{LU}$ ,  $F_{MG}$ ,  $F_I$ ). Note that area of exposed bedrock in Forest Land or the previous land use are not included in the soil C stock calculation (assume a stock of 0). Annual rates of stock changes are estimated based on the difference in stocks (over time) for the first and last year in the inventory time period divided by the time dependence of the stock change factors ( $D$ , default is 20 years).

**Tier 2***Developing Country-Specific Factors for the Default Equations*

The Tier 2 method for mineral soils also uses Equation 2.25, but involves country-specific or region-specific reference C stocks and/or stock change factors and more disaggregated land-use activity and environmental data.

*Three-Pool Steady-State C Model*

The three-pool steady-state soil C model is based on estimating C inputs to soils and applying soil carbon pool specific decomposition rates that are modified by given environmental conditions and management practices. This model embraces more of the heterogeneity in soils, by subdividing soil C pool into different rates of turnover, i.e., fast (Active Pool), intermediate (Slow Pool), and long turnover times (Passive Pool). See Chapter 2, Section 2.3.3.1 for more information.

**Tier 3**

Tier 3 methods will involve more detailed and country-specific models and/or measurement-based approaches along with highly disaggregated land-use and management data. It is *good practice* that Tier 3 approaches, estimating soil C change from land-use conversions to Grassland, employ models, data sets and/or monitoring networks that are capable of representing transitions over time from other land uses, including Forest Land, Cropland, and possibly Settlements or other lands. If possible, it is also recommended for Tier 3 methods to be integrated with estimates of biomass removal and the post-clearance treatment of plant residues (including woody debris and litter), as variation in the removal and treatment of residues (e.g., burning, site preparation) will affect C inputs to soil organic matter formation and C losses through decomposition and combustion. It is important that models be evaluated with independent observations from country-specific or region-specific field locations that are representative of the interactions of climate, soil, and grassland management on post-conversion change in soil C stocks.

**Organic soils***No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See Section 2.3 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for land use conversions associated with drained organic soil.*

**Biochar C Amendments to Mineral Soils****Tier 1**

This methodology utilizes a top-down approach in which the total amount of biochar generated and added to mineral soil is used to estimate the change in soil organic C stocks. Use Equation 2.27 to estimate the change in C stock from biochar amendments in Chapter 2, Section 2.3.3.1, Volume IV.

**Tier 2**

Tier 2 methods use the same definitions and equations as Tier 1, but with country-specific factors. See Section 2.3.3.1, Chapter 2, Volume IV for more information.

**Tier 3**

Tier 3 methods can be used to account for GHG sources and sinks not captured in Tiers 1 or 2, such as priming, changes to  $N_2O$  or  $CH_4$  fluxes from soils, and changes to net primary production. More information on Tier 3 methods is provided in Section 2.3.3.1, Chapter 2, Volume IV.

499

### 500 **6.3.3.2 CHOICE OF STOCK CHANGE AND EMISSION FACTORS**

501 *This section contains elaboration on methods and new guidance.*

#### 502 **Mineral soils**

##### 503 **Tier 1**

504 For unmanaged land, as well as for managed Forest Land, Settlements and nominally managed Grassland with  
 505 low disturbance regimes, soil C stocks are assumed equal to the reference values (i.e., land use, disturbance (forests  
 506 only), management and input factors equal 1), while it will be necessary to apply the appropriate stock change  
 507 factors to represent other systems such as improved and degraded grasslands, as well as all cropland systems.  
 508 Default reference C stocks are given in Chapter 2, Table 2.3. See the *Choice of Stock Change and Emission*  
 509 *Factors* in the appropriate land-use chapter for default stock change factors (Forest Land in Section 4.2.3.2,  
 510 Cropland in 5.2.3.2, Grassland in 6.2.3.2, Settlements in 8.2.3.2, and Other land in 9.3.3.2).

511 Note that it is *good practice* to use the management factor ( $F_{LU}$ ) for set-asides (Table 5.5) if dealing with cultivated  
 512 annual Cropland converted into Grassland (i.e., until the land is re-classified as *Grassland Remaining Grassland*)  
 513 because recently converted annual cropland systems will typically gain C at a rate similar to set-aside lands.  
 514 Moreover, the Tier 1 set-aside factors were derived from empirical data to explicitly represent the expected gain  
 515 during the first 20 years for lands removed from cultivation. If countries decide to assume a faster increase in C  
 516 that raises levels to native conditions within 20 years, a justification should be provided in the documentation.

##### 517 **Tier 2**

##### 518 *Developing Country-Specific Factors for the Default Equations*

519 Estimation of country-specific stock change factors is probably the most important development for the Tier 2  
 520 approach. Differences in soil organic C stocks among land uses are computed relative to a reference condition,  
 521 using land-use factor ( $F_{LU}$ ). Input factor ( $F_I$ ) and management factor ( $F_{MG}$ ) are then used to further refine the C  
 522 stocks of the new grassland system. Additional guidance on how to derive these stock change factors is given in  
 523 *Grassland Remaining Grassland*, Section 6.2.3.2 as well as other general guidance in Section 2.3.3.1 (Chapter 2).  
 524 See the appropriate section for specific information regarding the derivation of stock change factors for other land-  
 525 use sectors (Forest Land in Section 4.2.3.2, Cropland in 5.2.3.2, Wetlands in 7.2.3.3, Settlements in 8.2.3.2, and  
 526 Other Land in 9.3.3.2).

527 Reference C stocks can be derived from country-specific data in a Tier 2 approach. Reference values in Tier 1  
 528 correspond to non-degraded, unimproved lands under native vegetation, but other reference conditions can also be  
 529 chosen for Tier 2. In general, reference C stocks should be consistent across the land uses (i.e., Forest Land,  
 530 Cropland, Grassland, Settlements, Other Land) (see section 2.3.3.1). Therefore, the same reference stock should  
 531 be used for each climate zone and soil type, regardless of the land use. The reference stock is then multiplied by  
 532 land use, input and management factors to estimate the stock for each land use based on the set of management  
 533 systems that are present in a country. In addition, the depth for evaluating soil C stock changes can be different  
 534 with the Tier 2 method. However, this will require consistency with the depth of the reference C stocks ( $SOC_{REF}$ )  
 535 and stock change factors for all land uses (i.e.,  $F_{LU}$ ,  $F_I$ , and  $F_{MG}$ ) to ensure consistency.

536 The Tier 1 method may over- or under-estimate soil C stock changes on an annual basis, particularly with land use  
 537 change (e.g., Villarino et al., 2014). Therefore, land use change, such as Croplands converted to Grasslands, may  
 538 include development of factors that estimate changes over longer periods of time than the default of 20 years, and  
 539 may better match the period of time over which carbon accumulates or is lost from soils due to land use change.

540 The carbon stock estimates may be improved when deriving country-specific factors for  $F_{LU}$  and  $F_{MG}$ , by  
 541 expressing carbon stocks on a soil-mass equivalent basis rather than a soil-volume equivalent (i.e. fixed depth)  
 542 basis. This is because the soil mass in a certain soil depth changes with the various operations associated with land  
 543 use that affect the density of the soil, such as uprooting, land levelling, tillage, and rain compaction due to the  
 544 disappearance of the cover of tree canopy. However, it is important to realize that all data used to derive stock  
 545 change factors across all land uses must be on an equivalent mass basis if this method is applied. This will be  
 546 challenging to do comprehensively for all land uses. See Box 2.2C in Chapter2, Section 2.3.3.1 for more  
 547 information.

##### 548 *Three-Pool Steady-State C Model*

549 Default parameters are provided for the three-pool steady-state C pool equations (Chapter 2, Section 2.3.3.1, Table  
 550 2), but parameters may be revised if experimental data are available to test the model. Lignin and nitrogen contents  
 551 are also needed for the C input data (See Section 5.2.3.2 for crop data, and Section 6.2.3.2 for grass data).

552



**Tier 3**

Constant stock change rate factors per se are less likely to be estimated in favour of variable rates that more accurately capture land-use and management effects. See Section 2.3.3.1 in Chapter 2 for further discussion.

**Organic soils**

*No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See Section 2.3 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for land use conversions associated with drained organic soil.*

**Biochar C Amendments to Mineral Soils****Tier 1**

Default emission factors are provided in Chapter 2, Section 2.3.3.1, Volume IV.

**Tier 2**

Tier 2 emission factors may be further disaggregated relative to the default factors based on variation in environmental conditions, such as the climate and soil types, in addition to variation associated with the biochar production methods. See Section 2.3.3.1, Chapter 2, Volume IV for more information.

**Tier 3**

Tier 3 methods are country-specific and may involve empirical or process-based models to account for a broader set of impacts of biochar amendments. More information on Tier 3 methods is provided in Section 2.3.3.1, Chapter 2, Volume IV.

**6.3.3.3 CHOICE OF ACTIVITY DATA**

*This section contains elaboration on methods and new guidance.*

**Mineral soils****Tier 1 and Tier 2 –Default Equations**

For purposes of estimating soil carbon stock change, area estimates of *Land Converted to Grassland* should be stratified according to major climate regions and soil types. This can be based on overlays with suitable climate and soil maps and spatially-explicit data of the location of land conversions. A detailed description of the default climate and soil classification schemes is provided in Chapter 3. See corresponding sections dealing with each land-use category for sector-specific information regarding the representation of land-use/management activity data (Forest Land in Section 4.2.3.3, Cropland in 5.2.3.3, Grassland in 6.2.3.3, Wetlands in 7.2.3.3, Settlements in 8.2.3.3, and Other land in 9.3.3.3).

An important issue in evaluating the impact of *Land Converted to Grassland* on soil organic C stocks is the type of land-use and management activity data. Activity data gathered using Approach 2 or 3 (see Chapter 3 for discussion about Approaches) provide the underlying basis for determining the previous land use for land categorized as *Land Converted to Grassland*. In contrast, aggregate data (Approach 1) only provide the total amount of area in each land use at the beginning and end of the inventory period (e.g., 1985 and 2005). Thus, unless supplementary information can be gathered to infer the pattern of land-use change (as suggested in Chapter 3) Approach 1 data are insufficient to determine specific transitions between land-use categories. Therefore, the previous land use before conversion to grasslands will be unknown. Fortunately, this is not problematic using a Tier 1 or 2 method because the calculation is not dynamic and assumes a step change from one equilibrium state to another. Therefore, with aggregated data (Approach 1), changes in soil organic C stocks may be computed separately for each land-use category and then combined to obtain the total stock change for all land uses combined. The soil C stock change estimate will be equivalent to results using Approach 2 (or 3) activity data (i.e., a full land-use change matrix), but evaluation of C stock trends will only be relevant after combining the stock estimates for all land uses (i.e., stocks will increase or decrease with the changes in land area within individual land uses, but this will offset by gains or losses in other land uses, and thus not an actual stock change in the soil pool for a country. Thus, with aggregate (Approach 1) data it is important to achieve coordination among all land sector to ensure the total land base is remaining constant over time, given that some land area will be lost and gained within individual sectors during each inventory year due to land-use change.

Note that it will not be possible to determine the amount of cultivated annual croplands converted to grasslands with aggregated activity data (Approach 1). Therefore, grassland stock change factors will be applied, without consideration for the slower rate of C gain in recently converted annual croplands, which may lead to an over-estimation of C gain over a 20-year time period, particularly using the Tier 1 method (see Choice of Stock Change and Emission Factors for additional discussion). This caveat should be acknowledged in the reporting

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documentation, and it is *good practice* for future inventories to gather additional information needed to estimate the area of grassland recently converted from croplands, particularly if soil C is a key source category.

### **Tier 2 – Three-Pool Steady-State C Model**

This method requires soil C input data based on the amount of biomass that is converted to dead organic matter annually. This rate will vary depending on plant production, management activity, natural disturbances, and other environmental variables. Removals or reductions in dead organic matter are subtracted from the C input, which could occur with practices such as collection of coarse woody debris or crop residues, burning of grasslands, field burning of agricultural residues, livestock grazing, and other practices. Disturbance events, such as pest outbreaks, may increase the dead organic matter, and therefore the C input to soils. It is good practice to use country-specific methods for estimating C input to soils, but default approaches are provided for cropland (Section 5.2.3.3) and grassland (Section 6.2.3.3). Tillage management data are also required for croplands (proportion of full tillage, reduced tillage and no-till), and irrigation data for any lands that are provided supplement water.

Additional ancillary data for this method include monthly weather data and soil texture (i.e., sand content), which are available from global weather and soils datasets if country-specific data are not available, such as the CRU climate dataset (<https://crudata.uea.ac.uk/cru/data/hrg/>), and the Harmonized World Soil Database (<http://webarchive.iiasa.ac.at/Research/LUC/External-World-soil-database/HTML/>), respectively.

### **Tier 3**

For application of dynamic models and/or a direct measurement-based inventory in Tier 3, similar or more detailed data on the combinations of climate, soil, topographic and management data are needed, relative to Tier 1 or 2 methods, but the exact requirements will be dependent on the model or measurement design.

### **Organic soils**

#### *No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See Section 2.3 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for land use conversions associated with drained organic soil.*

### **Biochar C Amendments to Mineral Soils**

#### **Tier 1**

The activity data required for the Tier 1 method includes the total quantities of biochar distributed for amendment to mineral soils. These data must be disaggregated by production type, where production type is defined as a process utilizing a specific feedstock type, and a specific conversion process (gasification, or high-, medium-, or low-temperature pyrolysis; Tables 2.4 and 2.5). In case data on the temperature of pyrolysis are unavailable, default factors for uncontrolled or unspecified pyrolysis temperatures are provided in Section 2.3.3.1 of Chapter 2, Volume IV. Changes in soil C associated with biochar amendments is considered to occur where it is incorporated into soil. However, due to the distributed nature of the land sector in which this can take place, inventory compilers may not have access to data on when or where biochar C amendments occur. Therefore, for the purposes of Tier 1 method, inventory compilers can rely on centralized records from biochar producers, importers, exporters or distributors, recording the quantity of biochar that has been provided to the land use sector for use as a soil amendment in the country. Note that exported biochar is not included in the total amount of biochar amended to soils in the country. Inventory compilers may further disaggregate amendments by land use if the data are available.

#### **Tier 2**

Tier 2 methods have the same activity data requirements as Tier 1 (quantities of biochar distributed for incorporation into mineral soils, disaggregated by production type). Additionally, activity data on the amount of biochar amendments may be disaggregated by climate zones and/or soil types if country-specific factors are disaggregated by these environmental variables. The additional climate and soil activity data may be obtained with a survey of biochar distributors and land managers.

#### **Tier 3**

The additional activity data required to support a Tier 3 method will depend on which processes are represented and environmental variables that are required as input to the model. Priming, soil GHG emissions, and plant production responses to biochar all vary with biochar type, climate, and soil type. Furthermore, soil GHG emissions and plant production responses also vary with grass type and management. Therefore, Tier 3 methods may require environmental data on climate zones, soil types, grass types and management systems (such as nitrogen fertilizer application rates), in addition to the amount of biochar amendments in each of the individual combinations of strata for the environmental variables. More detailed activity data specifying the process conditions for biochar production or the physical and chemical characteristics of the biochar may also be required (such as surface area, cation exchange capacity, pH, and ash content).

### 6.3.3.4 CALCULATION STEPS FOR TIER 1

*This section provides updates and new guidance.*

#### **Mineral soils**

The steps for estimating  $SOC_0$  and  $SOC_{(0-T)}$  and net soil C stock change of *Land Converted to Grassland* are as follows:

**Step 1:** Organize data into inventory time periods based on the years in which activity data were collected (e.g., 1990 and 1995, 1995 and 2000, etc.)

**Step 2:** Determine the land-use and management by mineral soil types and climate regions for land at the beginning of the inventory period, which can vary depending on the time step of the activity data (0-T; e.g., 5, 10 or 20 years ago).

**Step 3:** Select the native reference C stock value ( $SOC_{REF}$ ), based on climate and soil type from Table 2.3, for each area of land being inventoried. The reference C stocks are the same for all land-use categories to ensure that erroneous changes in the C stocks are not computed due to differences in reference stock values among sectors.

**Step 4:** Select the land-use factor ( $F_{LU}$ ), management factor ( $F_{MG}$ ) and C input levels ( $F_i$ ) representing the land-use and management system present before conversion to grassland. Values for  $F_{LU}$ ,  $F_{MG}$  and  $F_i$  are given in the respective section for the land-use sector (Cropland in Chapter 5, Grassland in Chapter 6, Settlements in Chapter 8, and Other land in Chapter 9).

**Step 5:** Multiply these values by the reference soil C stock to estimate 'initial' soil organic C stock ( $SOC_{(0-T)}$ ) for the inventory time period.

**Step 6:** Estimate  $SOC_0$  by repeating Steps 1 to 4 using the same native reference C stock ( $SOC_{REF}$ ), but with land-use, management and input factors that represent conditions (after conversion to grassland) in the last (year 0) inventory year.

**Step 7:** Estimate the average annual change in soil organic C stock for the area over the inventory time period ( $\Delta C_{Mineral}$ )

**Step 8:** Repeat Steps 1 to 6 if there are additional inventory time periods (e.g., 1995 to 2000, 2001 to 2005, etc.).

A numerical example is given below for land conversion of cropland.

Using Equation 2.25 (Chapter 2), default stock change factors and reference C stocks, a case example is given below for estimating changes in soil organic C stocks associated with *Land Converted to Grassland*.

**Updated Example:** For tropical moist, volcanic soil that has been under long-term annual Cropland, with intensive tillage and where crop residues are removed from the field, carbon stocks at the beginning of the inventory time period (1990 in this example),  $SOC_{(0-T)}$  are:

$$70 \text{ tonnes C ha}^{-1} \bullet 0.48 \bullet 1 \bullet 0.92 = 30.9 \text{ tonnes C ha}^{-1}.$$

Following conversion to improved (e.g., fertilised) pasture, carbon stocks in the last year of inventory (2010 in this example) ( $SOC_0$ ) are:

$$70 \text{ tonnes C ha}^{-1} \bullet 0.82 \bullet 1.17 \bullet 1 = 67.2 \text{ tonnes C ha}^{-1}.$$

Thus the average annual change in soil C stock for the area over the inventory time period is calculated as:

$$(67.2 \text{ tonnes C ha}^{-1} - 30.9 \text{ tonnes C ha}^{-1}) / 20 \text{ yrs} = 1.5 \text{ tonnes C ha}^{-1} \text{ yr}^{-1}.$$

Note that the set-aside factor (0.82) from croplands was used for the  $F_{LU}$  because grasslands do not gain the full complement of the native C stock in 20 years. After the first 20 years, a factor of 1 would be used for  $F_{LU}$  in the Tier 1 approach.

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***Organic soils****No Refinement.*

*The 2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands provides additional guidance that updates the 2006 Guidelines for National Greenhouse Gas Inventories. See Section 2.3 of the 2013 Wetlands Supplement for guidance on Tier 1, 2, and 3 approaches for land use conversions associated with drained organic soil.*

***Biochar C Amendments to Mineral Soils***

**Step 1:** Organize data of the annual amount of biochar applied to grassland by feedstock type and,pyrolysis production method according to divisions described for biochar in Vol. 4, Chapter 2, Section 2.3.3.1.

**Step 2:** Calculate the annual change in biochar C stocks. An example is provided in Section 6.2.3.4.

**6.3.3.5 UNCERTAINTY ASSESSMENT***No Refinement***6.3.4 Non-CO<sub>2</sub> greenhouse gas emissions from biomass burning***No Refinement***6.4 COMPLETENESS, TIME SERIES, QA/QC, AND REPORTING***No Refinement*

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## Annex 6A.1 Estimation of default stock change factors for mineral soil C emissions/removals for Grassland

Default stock change factors have been updated in Table 6.2 based on an analysis of a global dataset of experimental results for grazing intensity to a 30cm depth. Management factors represent the effect on C stocks after 20 years following the management change. Data were compiled from published literature based on the following criteria: a) must be an experiment with a control and treatment; b) provide soil organic C stocks or the data needed to compute soil organic C stocks (bulk density, OC content, gravel content); c) provide depth of measurements; d) provide the number of years from the beginning of the experiment to C stock sample collection; and c) provide location information. There were 31 published studies with 176 observations of grassland management (i.e., high intensity grazing).

Semi-parametric mixed effect models were developed to estimate the new factors (Breidt et al., 2007). Several variables were tested including depth, number of years since the management change, climate, and the first-order interactions among the variables. Variables and interactions terms were retained in the model if they met an alpha level of 0.05 and decreased the Akiake Information Criterion by two. For depth, data were not aggregated to a standardized set of depths but rather each of the original depth increments were used in the analysis (e.g., 0-5 cm, 5-10 cm, and 10-30 cm) as separate observations of stock changes. Similarly, time series data were not aggregated, even though those measurements are taken from the same plots. Consequently, random effects were included to account for the dependencies in times series data and among data points representing different depths from the same study.

Special consideration was given to representing depth increments in order to avoid aggregating data across increments from the original experiments. Data are collected by researchers at various depths that do not match among studies. We created a custom set of covariates, which are functions of the increment endpoints. These functions come from integrating the underlying quadratic function over the increments. This approach was needed in order to make statistically valid inferences with the semi-parametric mixed effect model techniques, and to avoid errors associated with aggregating data into a uniform set of depth increments.

Using this customized approach, we estimated grassland management factors to a 30 cm depth. Uncertainty is quantified based on the prediction error for the model, and represents a 95% confidence interval for each of the factor values. The resulting confidence intervals can be used to construct probability distribution functions with a normal density for propagating error through the inventory calculations.

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