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## CO2 efflux from shrimp ponds in Indonesia

--Manuscript Draft--

<b>Manuscript Number:</b>	
<b>Article Type:</b>	Research Article
<b>Full Title:</b>	CO2 efflux from shrimp ponds in Indonesia
<b>Short Title:</b>	CO2 efflux from shrimp ponds in Indonesia
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<b>Keywords:</b>	soil respiration; aquaculture; Bali; land-use change; carbon fluxes
<b>Abstract:</b>	<p>The conversion of mangrove forest to aquaculture ponds has been increasing in recent decades. One of major concerns of this habitat loss is the release of stored 'blue' carbon from mangrove soils to the atmosphere. In this study, we assessed carbon dioxide (CO2) efflux from soil, or soil respiration in intensive shrimp ponds in Bali, Indonesia. We measured CO2 efflux from the floors and walls of shrimp ponds. Rates of CO2 efflux within shrimp ponds were 4.37 kg CO2 m-2 y-1 from the walls and 1.60 kg CO2 m-2 y-1 from the floors. Combining our findings with published data of aquaculture land use in Indonesia, we estimated that shrimp ponds in this region result in CO2 emissions to the atmosphere between 5.76 and 13.95 Tg y-1. The results indicate that conversion of mangrove forests to aquaculture ponds contributes to greenhouse gas emissions that are comparable to peat forest conversion to other land uses in Indonesia. Higher magnitudes of CO2 emission may be released to atmosphere where ponds are constructed in newly cleared mangrove forests. This study indicates the need for incentives that can meet the target of aquaculture industry without expanding the converted mangrove areas, which will lead to increased CO2 released to atmosphere.</p>
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<b>Opposed Reviewers:</b>	

Brisbane, 13 February 2013

The Editor  
PloS ONE

Dear the Editor

I would like to submit the attached manuscript, "CO<sub>2</sub> efflux from shrimp ponds in Indonesia", for consideration of publication as a research article in PLOS ONE. All authors approved the manuscript and this submission.

The paper assessed the level of CO<sub>2</sub> released from soils in shrimp ponds Indonesia and compares this with other land-uses where forests have been removed. We provide an estimate of the contribution of aquaculture activities to GHG emissions in Indonesia. The paper is important as measures of CO<sub>2</sub> emissions from shrimp aquaculture provide a basis on which to estimate the carbon "value" of natural mangrove forests which is needed for forest conservation schemes like REDD+. The data will be used in the wetland supplement being prepared for the guidance on estimating and reporting anthropogenic greenhouse gas (GHG) emissions and removals from managed coastal wetlands for the 2013 Supplement to 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands.

We would like to suggest Just Cebrian as the academic editor for the manuscript.

Thank you for your consideration of our manuscript. We appreciate your time and look forward to your response. Please address all correspondence concerning this manuscript to the first author at [f.sidik@uq.edu.au](mailto:f.sidik@uq.edu.au).

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# CO<sub>2</sub> efflux from shrimp ponds in Indonesia

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## Abstract

The conversion of mangrove forest to aquaculture ponds has been increasing in recent decades. One of major concerns of this habitat loss is the release of stored 'blue' carbon from mangrove soils to the atmosphere. In this study, we assessed carbon dioxide (CO<sub>2</sub>) efflux from soil, or soil respiration in intensive shrimp ponds in Bali, Indonesia. We measured CO<sub>2</sub> efflux from the floors and walls of shrimp ponds. Rates of CO<sub>2</sub> efflux within shrimp ponds were 4.37 kg CO<sub>2</sub> m<sup>-2</sup> y<sup>-1</sup> from the walls and 1.60 kg CO<sub>2</sub> m<sup>-2</sup> y<sup>-1</sup> from the floors. Combining our findings with published data of aquaculture land use in Indonesia, we estimated that shrimp ponds in this region result in CO<sub>2</sub> emissions to the atmosphere between 5.76 and 13.95 Tg y<sup>-1</sup>. The results indicate that conversion of mangrove forests to aquaculture ponds contributes to greenhouse gas emissions that are comparable to peat forest conversion to other land uses in Indonesia. Higher magnitudes of CO<sub>2</sub> emission may be released to atmosphere where ponds are constructed in newly cleared mangrove forests. This study indicates the need for incentives that can meet the target of aquaculture industry without expanding the converted mangrove areas, which will lead to increased CO<sub>2</sub> released to atmosphere.

Keywords: soil respiration, aquaculture, Bali, land-use change, carbon fluxes

## Introduction

Soil is one of major sources of CO<sub>2</sub> emissions to the atmosphere [1,2,3]. Soil respiration, determined by measuring the CO<sub>2</sub> efflux from soil surface, is primarily from the respiration of soil organisms and roots [1,3]. On the global scale, rates of soil respiration in vegetated biomes have a positive relationship with plant productivity, which contributes to soil metabolic activity [1]. In the absence of vegetation, e.g. when land is converted to aquaculture ponds, the microbial community plays a major role in soil respiration and can release large amounts of CO<sub>2</sub> to the atmosphere [1,4]. During pond construction and operation sediment carbon is increasingly exposed to air, microbial activity accelerates which may result in increases in CO<sub>2</sub> efflux from the soil [7, 8].

Mangroves are known to be habitats that sequester and store significant amounts of carbon, referred as 'blue' carbon [11, 12, 13, 19]. The carbon stored in mangroves is mostly found below ground, comprised of highly organic soils and roots [11,18]. The removal of the mangrove forest (aboveground biomass) leads to reduction of carbon sequestration and the release of soil carbon stocks in the form of CO<sub>2</sub> to the atmosphere [6, 7,11]. Recent studies have provided global estimates of the CO<sub>2</sub> efflux contribution to global greenhouse gas (GHG) emissions due to mangrove loss in order to assess the potential implications of continuing mangrove wetland conversion and the potential for GHG mitigation schemes [6, 7, 8, 11], yet there are few empirical studies of GHG emissions from aquaculture ponds that occur in converted mangrove areas.

The conversion of mangroves to aquaculture ponds has been a critical issue in Indonesia. With a cover of 3,112,989 ha of mangrove forests, which is the largest portion of remaining global mangrove cover [6, 10], Indonesia's coasts also comprise extensive areas of aquaculture ponds [15, 16, 17]. Increasing shrimp production in the 1990s led to the expansion of mangrove forest conversion to aquaculture ponds at a rate of 3.67% per year [15]. Rapid conversion of mangrove forests to aquaculture ponds mainly occurred in regions in Sumatra and Kalimantan, however many of those areas have been abandoned in the past decades [15]. Recently, the government has begun to manage the abandoned areas and has expressed interest in increasing shrimp production through revitalisation of existing shrimp ponds [17]. There has also been a substantial effort to restore ponds to mangrove forests by both government and non-government organizations [15, 25]. But there is little information of the carbon emissions that are avoided through restoration, which could provide further incentives for restoration of non-productive ponds.

This study examined CO<sub>2</sub> efflux from soil in an intensive shrimp farm in Bali, Indonesia. Shrimp aquaculture is one of major aquaculture activities in Bali. In this study, we measured the CO<sub>2</sub> efflux from the soil of the floors and walls of the shrimp ponds that were established 20 years ago. Furthermore, we estimated from these measurements a potential annual CO<sub>2</sub> efflux from shrimp ponds using a dataset of aquaculture area in Indonesia. The results of this study have implications for initiatives aimed at preparedness for use of the clean development mechanism (CDM) and other emissions trading in countries with extensive aquaculture.

## Results

Measures of CO<sub>2</sub> efflux within shrimp ponds showed that rates of CO<sub>2</sub> efflux from the walls were 3.15 μmol m<sup>-2</sup> s<sup>-1</sup> which exceeded emissions from the floors of the pond which were 1.15 μmol m<sup>-2</sup> s<sup>-1</sup> (Figure 1,  $F_{1,28} = 25.66$ ,  $P < 0.0001$ ). Extrapolation of CO<sub>2</sub> efflux rates to annual CO<sub>2</sub> loss from shrimp ponds to atmosphere gave values of 4.37 kg CO<sub>2</sub> m<sup>-2</sup> y<sup>-1</sup> (walls) and 1.60 kg CO<sub>2</sub> m<sup>-2</sup> y<sup>-1</sup> (floors). Soil temperature varied significantly between floors and walls of the pond ( $F_{1,28} = 21.81$ ,  $P < 0.0001$ ), ranging from 31.9 °C to 37.0 °C in the floors (mean of 34.5 °C) and from 30.9 °C to 45.1 °C in the walls (mean of 40 °C).

Using the above values we developed estimates of annual CO<sub>2</sub> efflux from shrimp ponds in Indonesia. There are two published values within Indonesian government reports of the area of shrimp ponds in Indonesia [16,17]. These gave a “low” estimate of CO<sub>2</sub> emissions of 5.76 Tg y<sup>-1</sup> and a “high” estimate of 13.95 Tg y<sup>-1</sup>.

Figure 1. CO<sub>2</sub> efflux from the floors and walls of shrimp ponds in Bali, Indonesia.

## Discussions

Our measurements of CO<sub>2</sub> efflux from the floors of shrimp ponds in Bali found lower rates of soil CO<sub>2</sub> efflux than have been measured in mangroves that have been cleared in Belize [7]. The lower CO<sub>2</sub> efflux rates may be due to the lower carbon density in the Bali soils, which are mineral soils (0.019 g C cm<sup>3</sup>), compared to the average mangrove soil carbon density in Indonesia and Southeast Asia and the highly organic peat soils in Belize [7,14]. But we found higher rates of CO<sub>2</sub> efflux from walls than pond floors, which increased the overall CO<sub>2</sub> efflux per area from the ponds. High respiration in pond walls was coincident with warmer temperature in pond walls than pond floors. The structure of ponds, where soil was pushed up to form walls, allows the soil in the walls to receive high levels of solar radiation and high levels of aeration probably leading to increased rates of oxidation [7]. Warmer temperatures stimulate microbial activity to increase that result in greater CO<sub>2</sub> efflux from decomposition, thereby increasing the release of CO<sub>2</sub> to the atmosphere [1,2,7,8,20].

Our measured rates of CO<sub>2</sub> efflux from shrimp ponds were within the range reported in previous work by Burford and Longmore (2001) who measured CO<sub>2</sub> efflux from pond water surfaces rather than from the soil surface [4,5]. CO<sub>2</sub> efflux measured by Burford and Longmore (2001) [4] were highly variable which likely reflect variation in pond management, temporal factors or other biogeochemical factors [2,4,6,8,14].

Emissions profiles of land conversion may be variable, dependent on climatic and substrate

factors, year and type of land uses [2,6,8,4]. Studies of soil CO<sub>2</sub> emissions from several forms of established land uses in converted Asian peat forest (Table 1) indicate comparable levels of CO<sub>2</sub> emissions to shrimp aquaculture [21,22,23,24].

The values of CO<sub>2</sub> efflux from pond floors were similar to other land uses, e.g. paddy fields, oil palm and sago palm plantation. CO<sub>2</sub> emissions from pond soils from recently constructed ponds in converted mangrove forests may be higher than presented here. Therefore the expansion of shrimp ponds and mangrove forest conversion could result in higher magnitudes of annual CO<sub>2</sub> emissions from aquaculture compared to the findings from this study. In the economic analysis of the benefits of protecting mangroves for their carbon values, Siikamäki et al (2012) [14] assumed a loss of 27% (low end) and 90% (high end) of soil carbon once mangroves were converted and a mean soil carbon of 0.0418 gC cm<sup>-3</sup> for Indonesia. Over 20 years loss of 27%C gives a CO<sub>2</sub> emission rate of 2.2 kg CO<sub>2</sub> m<sup>-2</sup> y<sup>-1</sup>. Our data, from ponds in mineral soils, are consistent with this estimate.

The conversion of mangrove forest to aquaculture causes significant increases to CO<sub>2</sub> efflux to the atmosphere, and thus strong incentives to preserve coastal wetlands are needed to avoid increasing CO<sub>2</sub> released to atmosphere. Restoration and conservation of coastal wetlands are the primarily mechanisms proposed to reduce CO<sub>2</sub> emission driven from mangrove loss [6]. However, these mechanisms are difficult to apply in regions targeted for enhanced aquaculture production, as is the case in Indonesia [15,17]. Revitalisation of existing shrimp ponds is an additional option to meet the goals of increased aquaculture productivity without expanding the converted area that leads to increasing CO<sub>2</sub> released to atmosphere.

Our estimates of CO<sub>2</sub> efflux from shrimp pond aquaculture may be improved by improving estimates of the aerial extent of existing shrimp ponds in Indonesia. This study used the area of existing aquaculture published in 2001 (438,010 ha) to calculate the “high” estimate of country-wide CO<sub>2</sub> emissions from shrimp ponds [16]. We assumed that all aquaculture land was utilised for shrimp pond production as the data indicated that shrimp (*Penaeus monodon*) culture dominated in aquaculture areas in Indonesia, second only to culture of milkfish (*Chanos chanos*). Our “high” estimate scenario however is likely an underestimate because conversion of mangrove forest to ponds was greater than were reported [15]. Additionally, our “low” estimate scenario, which used more recent data (2011) of existing working shrimp ponds in 22 districts in Indonesia of 180,844 ha [17], did not include previously converted ponds that are not currently in production. Improved documentation of

areas of ponds and management of ponds would enhance our confidence of estimates of CO<sub>2</sub> emissions contributed by aquaculture in Indonesia.

## Conclusions

The conversion of mangrove forests to shrimp ponds resulted in CO<sub>2</sub> losses to the atmosphere for 4.37 kg CO<sub>2</sub>m<sup>-2</sup> y<sup>-1</sup> from the walls and 1.60 kg CO<sub>2</sub>m<sup>-2</sup> y<sup>-1</sup> from the floors of ponds. Our estimate of annual CO<sub>2</sub> emission from shrimp ponds in Indonesia region was between 5.76 and 13.95 Tg y<sup>-1</sup>. These values are comparable to CO<sub>2</sub> emissions from other land uses of converted lowland forests. The CO<sub>2</sub> emission released to atmosphere might be higher than we report here if ponds are constructed in newly cleared mangrove forests. Knowledge of the amounts of CO<sub>2</sub> released from shrimp ponds may contribute to preparedness for use of the clean development mechanism (CDM) and other emissions trading schemes in countries, particularly Indonesia, which have made a commitment to protect mangrove forests concurrently with commitments to meet targets of high production in the aquaculture industry.

## Materials and Methods

This study was conducted in Perancak estuary, Bali, Indonesia (8° 23' 40" S, 114° 37' 39" E). The area is a coastal plain associated with the Perancak River that is comprised of a mix of paddy fields, mangrove forests and aquaculture ponds. The estuary is characterised by sedimentary limestones and alluvial platforms. The soils are related to the volcanic stratigraphy derived from the Batur volcano [26, 27]. Soil organic carbon contents in this area, measured by Sidik et al (unpublished), are 0.019 g C cm<sup>3</sup> in the mangrove forests. In the 1990s, huge areas of mangrove forests were converted to intensive shrimp ponds, however, there is no literature that provides accurate information of the extent of mangroves cleared for shrimp ponds in the area. Since early 2000, these aquaculture activities have been diminished due to the global economic crisis and diseases, which have resulted in numerous shrimp ponds ceasing to be in production.

The measurements of CO<sub>2</sub> efflux were made in November 2012. We selected three working shrimp ponds, which were about 20 years old. All the shrimp ponds were located in the same farm with similar soils and pond management. The area of each pond was about 2000 m<sup>2</sup> with a depth of 1.5 m. A day before the measurements of CO<sub>2</sub> efflux the ponds were drained. Ponds in this farm are usually stocked with *Panaeus vanamei* and aerated with paddlewheels. We measured the CO<sub>2</sub> efflux from the soil in floors and walls of these ponds. CO<sub>2</sub> efflux from soils was measured using a LiCor 6400 portable photosynthesis system



configured with the LiCor soil CO<sub>2</sub> flux chamber (LiCor Corp, Lincoln, NE, USA) inserted 0.5 cm into the soil. Soil temperature was measured at 2 cm depth simultaneously with CO<sub>2</sub> efflux. We conducted five measurements in the floor and wall of each pond. Differences in CO<sub>2</sub> efflux rates between floors and walls were assessed using ANOVA.

We extrapolated to CO<sub>2</sub> efflux for shrimp ponds in Indonesia by multiplying our measurements scaled up to an annual rate by the reported area of shrimp ponds. The areas of shrimp ponds were derived from recent information published by Indonesian government [16,17]. As uncertainties existed in the available data, we used a conservative approach to estimate the CO<sub>2</sub> efflux from shrimp ponds. We multiplied the mean of CO<sub>2</sub> efflux from ponds by two different estimates of pond areas to generate “high” and “low” estimates of CO<sub>2</sub> emission from ponds. The lower area came from published information from the Ministry of Marine Affairs and Fisheries [17] and the high estimate from literature [16] derived from the Ministry of Agriculture. Our surveys of the area indicated that pond floors occupied approximately 90% of the shrimp pond footprint and wall about 10%. However, walls were three dimensional, typically 1.5 m high and 1 m wide at the top (4 linear meters). We therefore estimated total CO<sub>2</sub> efflux from floors and walls as (0.9 x floor efflux) + (0.1 x wall efflux x 4) to incorporate the three dimensional nature of the walls.

## Acknowledgments

We thank our field personnel from the Institute for Marine Research and Observation, Ministry of Marine Affairs and Fisheries: Hanggar Prasetyo, Nuryani Widagti, Nyoman Surana, and Ajis for their supports in field measurement. We thank Lina and Didu for providing access to their shrimp farm in Perancak, Bali for this study.

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Table 1. Comparison of data of CO<sub>2</sub> emission from land uses linked to tropical forest loss.

Type of land conversion	Location	Carbon emission	Source
Shrimp ponds	Bali, Indonesia	1.60 kg CO <sub>2</sub> m <sup>-2</sup> y <sup>-1</sup> (floors) 4.37 kg CO <sub>2</sub> m <sup>-2</sup> y <sup>-1</sup> (walls)	This study
Mangrove clearing	Belize	2.9 – 10.6 kg CO <sub>2</sub> m <sup>-2</sup> y <sup>-1</sup>	Lovelock et al (2011)
Paddy field	Kalimantan, Indonesia	1.4 kg CO <sub>2</sub> – C m <sup>-2</sup> y <sup>-1</sup>	Hadi et al (2005)
Abandoned paddy field	South Kalimantan, Indonesia	~1.2 - 1.5 kg CO <sub>2</sub> – C m <sup>-2</sup> y <sup>-1</sup>	Inubushi et al (2003)
Oil palm plantation	South Asia	~0.75 – 1.1 kg CO <sub>2</sub> m <sup>-2</sup> y <sup>-1</sup>	Reijnders and Huijbregts (2008)
	Sarawak, Malaysia	1.5 kg CO <sub>2</sub> – C m <sup>-2</sup> y <sup>-1</sup>	Melling et al (2005)
Sago palm plantation	Sarawak, Malaysia	1.1 kg CO <sub>2</sub> – C m <sup>-2</sup> y <sup>-1</sup>	Melling et al (2005)
Rice-soybean rotation field	Kalimantan, Indonesia	2 kg CO <sub>2</sub> – C m <sup>-2</sup> y <sup>-1</sup>	Hadi et al (2005)

Figure 1  
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